



GENERATING BANKING AND FINANCIALS SERVICES IMPACT



Genpact OpenWealth[®]: Wealth management transformation driven by technology

Genpact's OpenWealth[®] helps financial services firms make wealth management operations intelligent, by designing, transforming, and running their processes through advanced operating models that harness technology and analytics to deliver maximum impact. We call the result Intelligent OperationsSM.

Overview

The current trends in the wealth management industry, such as heightened regulatory scrutiny, fast-paced customer demands, unconventional competitors, and evolving digital channels, are disrupting the traditional operating models for the business. Amidst these trends, staying competitive, growing business, and driving profitability are serious challenges for wealth management firms.

While today's customer seeks more control over user experience and advisors search for new tools to meet customer expectations, the effective use of technology has emerged as a key source of competitive advantage. Genpact offers a next-generation wealth management platform, OpenWealth®, which provides an efficient end-to-end solution for wealth managers and financial advisors who want to focus on raising and managing investor

assets, enhancing customer experience, and gaining a competitive edge.

Genpact OpenWealth® provides scalable and customer-centric technology and administrative services that enable wealth management firms to reduce time to market and deliver holistic and integrated services to customers. OpenWealth® combines next generation technology and Genpact's service excellence to deliver Intelligent OperationsSM while keeping costs down through scalable solutions.

An integrated solution drives enterprise-wide impact

Genpact OpenWealth® is a business process as a service (BPaaS) platform that leverages cloud-based technology to enable wealth management firms to administer a client-centric view for all accounts to grow and scale their business.



Lean DigitalSM . Dynamic work flow . End user focused

OpenWealth® features a single framework for multiple managed-account programs and is highly configurable to support all asset types and products. Coupled with Genpact expertise, this integrated solution set offers the following:

- Consolidation of legacy systems
- Scalable, highly customizable household portfolios
- Web-based, end-to-end, managed account solutions
- Enhanced risk management and control through pre- and post-trade compliance capabilities.
- World-class operation support service and outsourcing

Why Genpact OpenWealth®

Innovation

Differentiate through Genpact OpenWealth® next-generation products and capabilities, which include:

- Modular architecture customizable to meet each wealth manager's needs
- True Unified Managed Households UMH capabilities supporting advisor-directed, model-only and traditional managed account products
- Household re-balancing and performance with multi-custodial aggregation that drives greater investor wallet share
- Flexible asset-pricing and tax-optimization tools with automated transition management

Efficiency

Genpact OpenWealth® provides the tools to make wealth managers more productive and client-focused:

- End-to-end functionality on a single platform that streamlines processes and expands advisor and operational capacity

- Silo-free architecture that supports a full range of advisory products {Wrap, Separately Managed Account, Unified Managed Accounts, (UMA)} on a single chassis

- Highly scalable platform that is able to accommodate virtually limitless AUM, accounts, and transaction volumes

- Award-winning operational excellence

Control

Centralized control for enhanced risk management and automated compliance oversight

- Comprehensive pre-trade compliance rules options
- Compliance dashboards to monitor investment policy exceptions
- Flexible models that allow advisors to customize portfolios while staying within investment guidelines

Digitization

Genpact combines design, advanced process-centric digital technology with years of expertise in Lean principles to deliver an unparalleled agile and client-focused experience

- Cloud/SaaS based technology
- Dynamic workflows
- Integrated digital and physical channels

Generating impact

Genpact OpenWealth® provides an efficient end-to-end solution for wealth managers and financial advisors, allowing them to focus on their core competencies, including raising and managing investor assets, while also playing a critical role enhancing client satisfaction and profitability.

About Genpact

Genpact (NYSE: G) stands for “**generating business impact.**” We architect the **Lean DigitalSM** enterprise through a unique approach based on our patented Smart Enterprise Processes (SEPSM) framework that reimagines our clients’ middle and back offices to generate growth, cost efficiency, and business agility. Our hundreds of long-term clients include more than one-fourth of the Fortune Global 500. We have grown to over 70,000 people in 25 countries, with key management and a corporate office in New York City. We believe we are able to generate impact quickly and power Intelligent OperationsSM for our clients because of our business domain expertise and experience running complex operations, driving our unbiased focus on what works and making technology-enabled transformation sustainable. Behind our passion for technology, process, and operational excellence is the heritage of a former General Electric division that has served GE businesses since 1998.

For additional information, contact, banking.solutions@genpact.com and visit, <http://www.genpact.com/home/industries/banking-financial-services>

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